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JC962 U.S. PTO

## UTILITY PATENT APPLICATION TRANSMITTAL

(New Nonprovisional Applications Under 37 CFR § 1.53(b))

Attorney Docket No.

CISCP677

## TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is the patent application of ( ) application identifier or (X) first named inventor, Gianpaolo Barozzi,  
entitled OPTICAL DEMULTIPLEXER WITH MULTI-CHANNEL POWER CONTROL AND TILT COMPENSATION, for  
a(n):

(X) Original Patent Application.

( ) Continuing Application (prior application not abandoned):

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of prior Application No. \_\_\_\_\_, filed \_\_\_\_\_.

( ) Please add after the title of the application "This is a

( ) Continuation ( ) Divisional ( ) Continuation-in-part (CIP)  
of Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is hereby incorporated by reference."

( ) This application claims the benefit of U.S. Provisional Application  
No. \_\_\_\_\_, filed \_\_\_\_\_.

## Enclosed are:

- (X) Specification; 19 Total Pages. (X) Drawing(s); 4 Total Sheets.  
(X) Oath or Declaration:  
(X) A Newly Executed Combined Declaration and Power of Attorney:  
(X) Signed. ( ) Unsigned. ( ) Partially Signed.  
( ) A Copy from a Prior Application for Continuation/Divisional (37 CFR § 1.63(d)).  
( ) Signed Statement Deleting Inventor(s) Named in the Prior Application. (37 CFR § 163(d)(2)).  
( ) Power of Attorney. (X) Return Receipt Postcard.  
( ) Associate Power of Attorney. (X) A Check in the amount of \$866.00 for the Filing Fee.  
( ) Preliminary Amendment. ( ) Information Disclosure Statement and Form PTO-1449.  
( ) A Duplicate Copy of this Form for Processing Fee Against Deposit Account.  
( ) A Certified Copy of Priority Documents (if foreign priority is claimed).  
(X) Assignment and Assignment Recordation Cover Sheet  
( ) Statement(s) of Status as a Small Entity.  
( ) Statement(s) of Status as a Small Entity Filed in Prior Application, Status Still Proper and Desired.  
(X) Other: Request for Certification.

CLAIMS AS FILED				
FOR	NO. FILED	NO. EXTRA	RATE	FEE
Total Claims	22	2	\$18.00	\$ 36.00
Independent Claims	4	1	\$80.00	\$ 80.00
Multiple Dependent Claims (if applicable)				\$0.00
Assignment Recording Fee				\$40.00
Basic Filing Fee				\$710.00
Total Filing Fee				\$ 866.00

At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit  
Account No. 50-1652 (Order No. CISCP677).

Respectfully submitted,

By: \_\_\_\_\_

Dan H. Lang, Reg. No. 38,531

Date: May 24, 2001

Correspondence Address:

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I hereby certify that this is being deposited with the U.S. Postal  
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37 CFR § 1.10 on the date indicated below and is addressed to:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

By: \_\_\_\_\_

Typed Name: Jack Limper

Express Mail Label No.: EL842803521US

Date of Deposit: May 24, 2001

JC962 U.S. PTO  
09/865917  
05/24/01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: ) Attorney Docket No. CISC677  
)  
Gianpaolo Barozzi et al. ) Examiner: Unassigned  
)  
Application No: Unassigned ) Art Unit: Unassigned  
)  
Filed: Herewith )  
)  
Title: OPTICAL DEMULTIPLEXER WITH )  
MULTI-CHANNEL POWER )  
CONTROL AND TILT )  
COMPENSATION )  
\_\_\_\_\_ )

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 24, 2001

Signed: \_\_\_\_\_

Jack Limper

REQUEST FOR CERTIFICATION  
UNDER 35 U.S.C. 122(b)(2)(B)(i)

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,

  
Dan H. Lang

Reg. No. 38,531

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